



LUNDS
UNIVERSITET

DECISION

17 June 2021

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Vice-Chancellor

Open access policy for publications and artistic works

Approved by the vice-chancellor on 17 June 2021

Background

Lund University's (LU) strategic plan for 2017-2026 states that "Research findings shall be made openly accessible". This is in line with the Research Bill 2016/17:50 and requirements from an increasing number of research funding bodies.

The present policy constitutes a framework for Lund University's activities with regard to making research findings accessible in the form of publications and artistic works. The policy aims to support the University's goal to make research findings openly accessible. This is to enable the widest possible dissemination and reuse of research, as well as to increase its impact.

Differences between the publishing traditions and publishing infrastructure of various disciplines means that the conditions for open access (OA) publishing vary for researchers. LU strives to continuously develop and simplify the conditions for OA publication of various types of publication and for researchers in the subjects represented at LU.

The policy is general; practice is developed on the basis of the publishing tradition of each research subject.

LU offers systems, agreements, advice and support to enable researchers to make their publications freely accessible. The responsibility for the processes involved in making publications and artistic works accessible is distributed between various stakeholders and units within LU.

See appendix 1 and 2 for further information on the division of responsibilities and practical application of the policy.

Vision

The University's vision is to be a world-class university that works to understand, explain and improve our world and the human condition. In line with this vision, Lund University is to work for research and its dissemination to be characterised by openness, efficiency and accessibility.

Lund University is to work for open access to become the norm for research communication, and for restrictions on openness to be the exception.

Policy

The University:

Lund University is to work for researchers to have access to the resources required to make publications and artistic works openly accessible:

- Offer LU researchers and doctoral students operational support on issues concerning parallel publication, OA publication and associated copyright matters.
- Support and maintain systems enabling parallel publication.
- Take part in national collaborations on publication agreements
- Follow up on the development of OA publishing at LU
- Ensure access to relevant software and platforms for the publication of OA papers.

Research staff at LU:

- Are responsible for making their publications and artistic works available in open access to the greatest extent possible
- Are responsible for ensuring that publications and artistic works published by organisational units at Lund University are made freely accessible at the time of publication, unless there are special grounds for the contrary.
- In the case of parallel publication, ensure that this is done in compliance with the publisher's policy
- Ensure that publications and artistic works are made available in compliance with the open access requirements of the project funding bodies.

APPENDIX 1

Definitions

Open access	A set of principles and practices through which research publications are distributed online, free of charge, and with no other barriers to access.
Parallel publishing	The author makes a version of a publication freely available irrespective of the accessibility of the publisher's version.

Research staff at LU refers to employees who, regardless of their type of employment, contribute to the University's research.

APPENDIX 2

Division of responsibilities

The following table concretises the points listed as the University's responsibility to clarify and exemplify what is meant by resources and support and where, within the University organisation, the operational responsibility lies.

Responsibility	Concretisation	Operational responsibility
<ul style="list-style-type: none"> Offer LU researchers and doctoral students operational support on issues concerning parallel publication, OA publication and associated copyright matters. 	Needs vary between researchers and subject areas. Questions may concern which version of a text may be parallel published, current licences and agreements with publishers, ORCID-ID, etc.	The relevant faculty library provides support to its researchers and doctoral students. The Legal Division at the Legal and Records Management Division may be consulted on copyright matters.
<ul style="list-style-type: none"> Support and maintain systems enabling parallel publication. 	LUCRIS offers the possibility of parallel publication	Research Services administrate LUCRIS. Support for researchers is mainly given by the relevant faculty library, but to a certain extent also by the University Library.
<ul style="list-style-type: none"> Take part in national collaborations on publication agreements 	BIBSAM	LU through consortium membership in Bibsam University Library
<ul style="list-style-type: none"> Follow up on the development of OA publishing at LU 	Annual compilation of OA publishing on the basis of LUCRIS and utilisation of publication agreements	University Library in consultation with the faculty libraries

<ul style="list-style-type: none"> Ensure access to relevant software and platforms for publishing OA papers. 	E.g. Open Journals System Open Monograph Press	University Library and faculty libraries at Lund University
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The table below clarifies the responsibilities listed in the policy as assigned to researchers and doctoral students, what they entail and how they can be fulfilled. Research staff at LU refers to employees who, regardless of their type of employment, contribute to the University's research.

Responsibility	Concretisation
<ul style="list-style-type: none"> To be responsible for ensuring that their publications and artistic works are made available in open access to the greatest extent possible 	This can be done in several ways. Through direct publishing in OA or hybrid publications, or through parallel publishing either in LUCRIS or another freely accessible service that is deemed suitable by the researcher. LU is part of central publishing agreements that in many cases cover the cost of OA publishing in journals. Information on publishing support and current agreements is provided by the libraries at Lund University.
<ul style="list-style-type: none"> Are responsible for ensuring that publications and artistic works published by Lund University are made freely accessible at the time of publication, unless there are special grounds for the contrary. 	Publications such as articles in journal series, book chapters or doctoral theses published by LU or a unit at LU are to be made freely accessible. LU makes systems and platforms available and provides support to make this possible. Special reasons for why a publication is <i>not</i> made freely accessible at the time of publication may include, for example, if a publication contains sensitive personal data or if it is to be published by an external publisher.
<ul style="list-style-type: none"> In the case of parallel publication, ensure that this is done in compliance with the publisher's policy 	In the case of parallel publication of an artistic work or a publication produced by a publishing house, the researcher is responsible for ensuring that the parallel published version is made accessible in compliance with the relevant copyright legislation.
<ul style="list-style-type: none"> Ensure that publications and artistic works are made available in compliance with the open access requirements of the project funding bodies 	Funding bodies have different requirements regarding OA publishing and what forms of OA they will accept. The researcher is responsible for ensuring that the requirements set at the time of funding allocation are met.